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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	TI-31621
First Inventor	Tomohito Tsuga
Title	METHOD AND DEVICE FOR REMOVING PARTICLES ON SEMICONDUCTOR WAFERS
Express Mail Label No.	EV053664832US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. <input checked="" type="checkbox"/>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)																						
2. <input type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27.																						
3. <input checked="" type="checkbox"/>	Specification (preferred arrangement set forth below) <table border="0" style="margin-left: 20px;"> <tr> <td>[ Total Pages</td> <td><b>18</b></td> </tr> <tr> <td colspan="2">- Descriptive title of the Invention</td> </tr> <tr> <td colspan="2">- Cross Reference to Related Applications</td> </tr> <tr> <td colspan="2">- Statement Regarding Fed sponsored R &amp; D</td> </tr> <tr> <td colspan="2">- Reference to sequence listing, a table, or a computer program listing appendix</td> </tr> <tr> <td colspan="2">- Background of the Invention</td> </tr> <tr> <td colspan="2">- Brief Summary of the Invention</td> </tr> <tr> <td colspan="2">- Brief Description of the Drawings (if filed)</td> </tr> <tr> <td colspan="2">- Detailed Description</td> </tr> <tr> <td colspan="2">- Claim(s)</td> </tr> <tr> <td colspan="2">- Abstract of the Disclosure</td> </tr> </table>	[ Total Pages	<b>18</b>	- Descriptive title of the Invention		- Cross Reference to Related Applications		- Statement Regarding Fed sponsored R & D		- Reference to sequence listing, a table, or a computer program listing appendix		- Background of the Invention		- Brief Summary of the Invention		- Brief Description of the Drawings (if filed)		- Detailed Description		- Claim(s)		- Abstract of the Disclosure	
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a. <input type="checkbox"/>	Newly Executed (original or copy)																						
b. <input type="checkbox"/>	Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)																						
i. <input type="checkbox"/>	<b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).																						
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76																							

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. <input type="checkbox"/>	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)					
8. <input type="checkbox"/>	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <table border="0" style="margin-left: 20px;"> <tr> <td>a. <input type="checkbox"/> Computer Readable Form (CRF)</td> </tr> <tr> <td>b. Specification Sequence Listing on:               <table border="0" style="margin-left: 20px;"> <tr> <td>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</td> </tr> <tr> <td>ii. <input type="checkbox"/> paper</td> </tr> </table> </td> </tr> <tr> <td>c. <input type="checkbox"/> Statements verifying identity of above copies</td> </tr> </table>	a. <input type="checkbox"/> Computer Readable Form (CRF)	b. Specification Sequence Listing on: <table border="0" style="margin-left: 20px;"> <tr> <td>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</td> </tr> <tr> <td>ii. <input type="checkbox"/> paper</td> </tr> </table>	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or	ii. <input type="checkbox"/> paper	c. <input type="checkbox"/> Statements verifying identity of above copies
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c. <input type="checkbox"/> Statements verifying identity of above copies						

## ACCOMPANYING APPLICATION PARTS

9. <input type="checkbox"/>	Assignment Papers (cover sheet & documents(s))	
10. <input type="checkbox"/>	37 CFR 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney
11. <input type="checkbox"/>	English Translation Document (if applicable)	
12. <input type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/>	Preliminary Amendment	
14. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15. <input type="checkbox"/>	Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input type="checkbox"/>	Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input checked="" type="checkbox"/>	Other: Priority Document	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)

of prior application No \_\_\_\_\_ / \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

Prior application information: \_\_\_\_\_ Examiner: \_\_\_\_\_  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label

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(Insert Customer No. or Attach bar code label here)

or  Correspondence address below

NAME	Texas Instruments Incorporated		
ADDRESS			
CITY	STATE	TX	ZIP CODE
COUNTRY	TELEPHONE	(972) 271-1176	FAX (972) 917-4418

Name (Print/Type)	Jacqueline J. Garner	Registration No. (Attorney/Agent)	Reg. No. 36,144
Signature			Date 2/28/02

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11046 U.S. PTO  
02/28/02

# **FEE TRANSMITTAL**

## **for FY 2001**

<i>Complete If Known</i>	
Application Number	TBD
Filing Date	02/28/02
First Named Inventor	Tomohito Tsuga
Examiner Name	TBD
Group Art Unit	TBD
Attorney Docket No.	TI-31621

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <b>20-0668</b></p> <p>Deposit Account Name <b>Texas Instruments Incorporated</b></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>				<p>3. 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143	440	243	Design issue fee																																																																																																																																																																																																																																																																																																																																										
144	600	244	Plant issue fee																																																																																																																																																																																																																																																																																																																																										
122	130	122	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																																																										
123	130	123	Petitions related to provisional applications																																																																																																																																																																																																																																																																																																																																										
126	180	126	Submission of information Disclosure Stmt																																																																																																																																																																																																																																																																																																																																										
581	40	581	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																																																																																																										
146	710	246	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																																																																																																																																																										
149	710	249	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																																																																																																																																										
179	710	279	Request for Continued Examination (RCE)																																																																																																																																																																																																																																																																																																																																										
169	900	169	Request for expedited examination of a design application																																																																																																																																																																																																																																																																																																																																										
Other fee (specify) _____																																																																																																																																																																																																																																																																																																																																													
<p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>				<p><b>FEE CALCULATION</b></p> <p><b>1. BASIC FILING FEE</b></p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th colspan="2">Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee <b>\$740</b></td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td></tr> <tr> <td colspan="4"><b>SUBTOTAL (1)</b></td> <td><b>(\$740)</b></td> </tr> </tbody> </table> <p><b>2. EXTRA CLAIM FEES</b></p> <table border="1"> <thead> <tr> <th colspan="2">Extra Claims</th> <th colspan="2">Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>7</td> <td>-20**=</td> <td>0</td> <td>X 18 = 0</td> </tr> <tr> <td>Independent Claims</td> <td>2</td> <td>-3** =</td> <td>0</td> <td>X 84 = 0</td> </tr> <tr> <td>Multiple Dependent</td> <td colspan="4"></td> </tr> <tr> <td>0</td> <td></td> <td></td> <td></td> <td>= 0</td> </tr> </tbody> </table> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th colspan="2">Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>80</td><td>209</td><td>40</td><td>**Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td></tr> <tr> <td colspan="4"><b>SUBTOTAL (2)</b></td> <td><b>(\$ 0)</b></td> </tr> </tbody> </table>						Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description		Fee Paid	101	710	201	355	Utility filing fee <b>\$740</b>	106	320	206	160	Design filing fee	107	490	207	245	Plant filing fee	108	710	208	355	Reissue filing fee	114	150	214	75	Provisional filing fee	<b>SUBTOTAL (1)</b>				<b>(\$740)</b>	Extra Claims		Fee from below		Fee Paid	Total Claims	7	-20**=	0	X 18 = 0	Independent Claims	2	-3** =	0	X 84 = 0	Multiple Dependent					0				= 0	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description		103	18	203	9	Claims in excess of 20	102	80	202	40	Independent claims in excess of 3	104	270	204	135	Multiple dependent claim, if not paid	109	80	209	40	**Reissue independent claims over original patent	110	18	210	9	**Reissue claims in excess of 20 and over original patent	<b>SUBTOTAL (2)</b>				<b>(\$ 0)</b>																																																																																																																																																																																																																																						
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**SUBTOTAL (3) (\$)**

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Jacqueline J. Garner	Registration No. (Attorney/Agent)	36,144	Telephone (972) 271-1176
Signature			Date	2/28/02

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